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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/731,917	12/08/2000	Xin Wang	107146	9895

7590 09/27/2004

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EXAMINER

NGUYEN, THANH T

ART UNIT	PAPER NUMBER
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2144

DATE MAILED: 09/27/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

3

<b>Office Action Summary</b>	<b>Application No.</b> 09/731,917	<b>Applicant(s)</b> WANG, XIN	
	<b>Examiner</b> Tammy T Nguyen	<b>Art Unit</b> 2144	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE (3) MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 08 December 2000.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 08 December 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |



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***Detailed Office Action***

1. This action is in response to the application **09/731917** filed on **December 8, 2000**.
2. Claims **1-10** and new claims **11, 12** have been examined.

***Specification***

3. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gennaro et al., (hereinafter Gennaro) U.S. Patent No. 5,937,066 in view of Botham, Jr et al., (hereinafter Botham Jr) U.S. Patent No. 6,785,812.
6. As to claim 1, Gennaro teaches the invention as claimed, including a method for using a protected document, comprising: receiving a document usage request for using the protected document in a session (col.13, lines 47-50, message transmits is encrypted and decrypted); authenticating the protecting document (col.10, lines 51-55); authorizing usage of the protected document (col.10, lines 51-55, and col.25, lines 50-60); creating a session key for the session (col.10, lines 58-62); issuing a proxy key that delegates decryption to the session (col.10, lines 57-67, key encrypting key allowing them to decrypt the encrypted data keys (session key)); and decrypting the proxy transformed document using the session key (col.16, lines 15-20, col.10, lines 50-67). But Gennaro does not teach rendering the protected document, performs proxy transformation on the rendered document using the proxy keys. However, Botham, Jr teaches rendering the protected document, performs proxy transformation on the rendered document using the proxy keys (Fig.2, Encode document with encryption data, 220). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to combine the teachings of Gennaro and Botham, Jr to have rendering the protected document, performs proxy transformation on the rendered document using the proxy keys because it would have an efficient

system that can provide for the secure and controlled electronic distribution of documents across a communications network, such as internet.

7. As to claim 2, Gennaro teaches the invention as claimed, further comprising: retrieving terms and conditions of the session; retrieving usage parameters and system resource information for the session; and comparing the retrieved usage parameters and system resources and the retrieved terms and conditions, wherein the authorized usage is based on comparison results of the retrieved usage parameters and system resources and the retrieved terms and conditions (col.10, lines 51-67).
8. As to claim 3, Gennaro teaches the invention as claimed, wherein the retrieved terms and conditions are associated with at least one of identification of the protected document and usage type (col.14, lines 35-38).
9. As to claim 4, Gennaro teaches the invention as claimed, wherein the document usage request contains at least one of document identification, usage type, and user identification (col.30, lines 41-55).
10. As to claim 5, Gennaro teaches the invention as claimed, wherein authenticating the protected document comprises at least one of: checking a digital signature associated with the protected document; and verifying integrity of each component of the protected document (col.11, lines 30-35).
11. As to claim 6, Gennaro teaches the invention as claimed, including a usage authorization system for using a protected document, comprising: a request receiving device that receives a document usage request for using the protected document in a session (col.13, lines 47-50, message transmits is encrypted and decrypted); a

document processing device that authenticates the protected document (col. 10, lines 51-55); a document source that authorizes usage of the protected document, and issues a proxy key that delegates decryption to the session (col.10, lines 57-67, key - encrypting key allowing them to decrypt the encrypted data keys (session key)); an access device that, along with the document device, creates a session key for the session, and decrypts the proxy transformed document using the session key (col.14, lines 35-38, col.16, lines 45-50, col.16, lines 15-20, col.10, lines 50-67). But Gennaro does not teach rendering the protected document, performs proxy transformation on the rendered document using the proxy keys. However, Botham, Jr teaches rendering the protected document, performs proxy transformation on the rendered document using the proxy keys (Fig.2, Encode document with encryption data, 220). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to combine the teachings of Gennaro and Botham, Jr to have rendering the protected document, performs proxy transformation on the rendered document using the proxy keys because it would have an efficient system that can provide for the secure and controlled electronic distribution of documents across a communications network, such as internet.

12. As to claim 7, Gennaro teaches the invention as claimed, wherein the document source retrieves terms and conditions of the session, retrieves usage parameters and system resource information for the session, and compares the retrieved usage parameters and system resources and the retrieved terms and conditions, the

authorized usage being based on comparison results of the retrieved usage parameters and system resources and the retrieved terms and conditions (col.10, lines 51-67).

13. As to claim 8, Gennaro teaches the invention as claimed, wherein the retrieved terms and conditions are associated with at least one of identification of the protected document and usage type (col. 14, lines 35-38).
14. As to claim 9, Gennaro teaches the invention as claimed, wherein the document usage request contain at least one of document identification, usage type, and user identification (col.30, lines 41-55).
15. As to claim 10, Gennaro teaches the invention as claimed, wherein the document processing device authenticates the protected document by at least one of: checking a digital signature associated with the protected document; and verifying integrity of each component of the protected document (col.11, lines 30-35).
16. As to claim 11, Gennaro teach the invention as claimed, comprise a combination of performing partial rendering transformation and performing partial decryption transformation (col.5, lines 45-65).
17. As to claim 12, Gennaro teaches the invention as claimed, wherein the session and proxy keys are not usable for directly decrypting the protected document without rendering the protected document and performing proxy transformation on the rendered document (16, lines 45-50).

***Response to Arguments***

18. Applicant's arguments with respect to claims 1-12 have been considered but are moot in view of the new ground(s) of rejection.


***Conclusion***

19. Any inquiries concerning this communication or earlier communications from the examiner should be directed to **Tammy T. Nguyen** who may be reached via telephone at **(703) 305-7982**. The examiner can normally be reached Monday through Friday between 8:00 a.m. and 6:00 p.m. eastern standard time.

If you need to send the Examiner, a facsimile transmission regarding this instant application, please send it to **(703) 872-9306**. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's Supervisor, Bill Cuchlinski, may be reached at **(703) 308-3873**.

*TTN*

September 15, 2004.

  
WILLIAM A. CUCHLINSKI, JR.  
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